

Internal Audit 2011/12 Interim Report – Key Work in Quarters 2 & 3

Area	Key Work in Quarters 2 & 3
Supporting Corporate Governance	Production of 2010/11 AGS and associated Member training.
Fundamental Financial Systems (including Shared Services)	Completion of and reporting on 2010/11 systems work, including new systems for Housing Benefits, Council Tax and NNDR. Joint review (with CWaC Internal Audit) of Oracle accesses which External Audit placed reliance on for 2010/11 accounts.
Key Service and Departmental Systems	<p><u>Adult, Community, Health & Wellbeing</u> Congleton Supported Living Network – review of the management of client finances. Appointeeships – continued support/advice provided to the project group and additional work in supporting managers in Adult Services. Continued support to Client Finance Review within Adult Services.</p> <p><u>Children & Families</u> Schools Financial Values Standard – identification/review of new requirements. Initial meetings held with Finance staff to develop appropriate assurance framework. Emergency Duty Team – an audit of the controls around expenditure in this area is ongoing.</p> <p><u>Places</u> Reviews of Tatton Park, Emergency Planning and Transport Contracts completed. Advice on control framework within new Highways Maintenance contract.</p> <p><u>Performance & Capacity</u> ICT Shared Services audits (in partnership with CWaC Internal Audit) including ICT Demand and Performance Management, ICT assets and ICT Disaster Recovery.</p>
Fundamental Corporate Areas	Strategic Risks review (ongoing). Performance Management system implementation review.
Partnerships	Strategic Risks review (ongoing).
Counter Fraud and Probity	Review of the Bribery Act 2010 to determine the implications of the act being introduced. A number of investigations into suspected fraud/theft have been conducted with referrals to Cheshire Police having been made on four occasions.
Consultancy and Advice	Ad-hoc work; specific larger projects include 'Think Twice' monitoring and joint work (with CWaC and Warrington BC) on Connexions.
Contingency	The Contingency allocation of days for 2011/12 has been used on unplanned work.
Follow up work	Ad-hoc follow up work in period. Will be prioritised in Quarter 4.